



SEP 02 2004
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UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/824,752	04/15/2004	B. Thomas Barker	4002-1675

Woodard, Emhardt, Moriarty, McNett & Henry LLP
Bank One Center/Tower
Suite 3700
111 Monument Circle
Indianapolis, IN 46204-5137

CONFIRMATION NO. 7045

FORMALITIES LETTER



OC000000013083246

Date Mailed: 06/28/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

09/03/2004 HALI11 00000097 10824752

FILED UNDER 37 CFR 1.53(b)

130.00 OP

01 FC:1051

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is **\$130** for a Large Entity

- **\$130** Late oath or declaration Surcharge.

Replies should be mailed to: Mail Stop Missing Parts
Commissioner for Patents
P.O. Box 1450
Alexandria VA 22313-1450

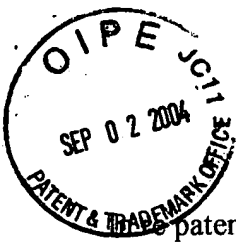
*A copy of this notice **MUST** be returned with the reply.*

Sharon Kueng

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

patent application of:)
B. Thomas Barker) Examiner: (not yet known)
Serial No. 10/824,752) Art Unit
Filed: April 15, 2004) 3732
TRANSFER RING FOR OFFSET)
TAPERED 3D CONNECTOR) Atty Docket No. 4002-1675/PC345.00
August 30, 2004

SUBMISSION UNDER 37 CFR 1.32(c)(3) OF PRACTITIONERS TO BE RECOGNIZED

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 CFR 1.32(c)(3), please recognize the following ten practitioners listed on the executed Declaration and Power of Attorney for Patent Application enclosed herewith as being of record in the above captioned application:

Charles R. Reeves	28,750	Christopher A. Brown	41,642
Kenneth A. Gandy	33,386	James B. Myers	42,021
Timothy N. Thomas	35,714	Douglas A. Collier	43,556
L. Scott Paynter	39,797	Brad A. Schepers	45,431
John V. Daniluck	40,581	Charles P. Schmal	45,082

This Statement is being submitted with the filing of copies of the originally executed power of attorney in the above application. Entry of the same is respectfully requested.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Mail Stop: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

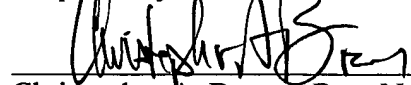
Monday, Aug. 30, 2004

Christopher A. Brown
Name of Registered Representative

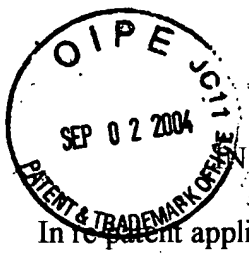

Signature

Monday, Aug. 30, 2004
Date of Signature

Respectfully submitted:



Christopher A. Brown, Reg. No. 41,642
Woodard, Emhardt, Moriarty McNett & Henry LLP
Bank One Center Tower
111 Monument Circle, Suite 3700
Indianapolis, Indiana 46204-5137
(317) 634-3456



THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of:)	Before the Examiner
)	(not yet assigned)
B. Thomas Barker)	
)	
Serial No. 10/824,752)	Group Art Unit
)	3764
Filed April 15, 2004)	
)	
TRANSFER RING FOR OFFSET TAPERED)	August 30, 2004
3D CONNECTOR)	

RESPONSE TO NOTICE TO FILE MISSING PARTS

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In response to the Notice to File Missing Parts of Nonprovisional Application dated June 28, 2004, a copy of which is enclosed, please consider the following. Executed declaration papers for Application Serial No. 10/824,752 are enclosed herewith, as is a check for \$130.00 reflecting the non-small entity surcharge under 37 CFR § 1.16(e) for filing a declaration later than the filing date. No extensions of time or other fees are believed to be necessary. Please grant any extensions of time and charge any additional fees that may be due to Deposit Account No. 23-3030, but not to include any payment of issue fees.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Mail Stop: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

Monday, Aug. 30, 2004

Christopher A. Brown
Name of Registered Representative

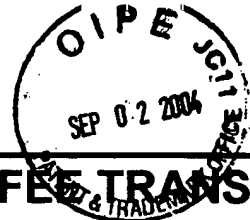
Christopher A. Brown
Signature

Monday, Aug. 30, 2004
Date of Signature

Respectfully submitted:

Christopher A. Brown

Christopher A. Brown, Reg. No. 41,642
Woodard, Emhardt, Moriarty McNett & Henry LLP
Bank One Center Tower
111 Monument Circle, Suite 3700
Indianapolis, Indiana 46204-5137
(317) 634-3456

**FEE TRANSMITTAL
FOR FY 2004**

Effective 01/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27Total Amount of Payment (\$)**130.00****Complete if Known**

Application Number	10/824,752
Filing Date	April 15, 2004
First Named Inventor	B. Thomas Barker
Group Art Unit	3732
Examiner Name	Not yet known
Attorney Docket Number	4002-1675

METHOD OF PAYMENT☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None☐ Deposit Account:Deposit
Account
Number

23-3030

Deposit
Account
NameWoodard, Emhardt, Moriarty,
McNett & Henry LLP

The Commissioner is authorized to: (check all that apply)

- ☐ Charge fee(s) indicated below ☒ Credit any overpayments
☒ Charge any additional fee(s) during the pendency of this application,
excluding the payment of issue fees
☐ Charge fee(s) indicated below, except for the filing fee to the above-
identified deposit account.

FEE CALCULATION**1. BASIC FILING FEE**

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
1001	770	2001	385	Utility Filing Fee	
1002	340	2002	170	Design Filing Fee	
1003	530	2003	265	Plant Filing Fee	
1004	770	2004	385	Reissue Filing Fee	
1005	160	2005	80	Provisional Filing Fee	
SUBTOTAL (1)					(\$)

2. EXTRA CLAIM FEES

	Extra Claims	Fee From Below	Fee Paid
Total Claims <input type="text"/> -20** = <input type="text"/>	X	<input type="text"/>	= <input type="text"/>
Independent Claims <input type="text"/> -3** = <input type="text"/>	X	<input type="text"/>	= <input type="text"/>
Multiple Dependent		<input type="text"/>	= <input type="text"/>

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
1202	18	2202	9	Claims in excess of 20
1201	86	2201	43	Independent claims in excess of 3
1203	290	2203	145	Multiple dependent claim, if not paid
1204	86	2204	43	**Reissue independent claims over original patent
1205	18	2205	9	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	130	2051	65	Surcharge - late filing fee or oath	130.00
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for <i>ex parte</i> reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner's Action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner's Action	
1251	110	2251	55	Extension for reply within first month	
1252	420	2252	210	Extension for reply within second month	
1253	950	2253	475	Extension for reply within third month	
1254	1,480	2254	740	Extension for reply within fourth month	
1255	2,010	2255	1,005	Extension for reply within fifth month	
1401	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	290	2403	145	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,330	2453	665	Petition to revive - unintentional	
1501	1,330	2501	665	Utility issue fee (or reissue)	
1502	480	2502	240	Design issue fee	
1503	640	2503	320	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Petitions related to provisional applications	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	770	2809	385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	770	2810	385	For each additional invention to be examined (37 CFR 1.129(b))	
1801	770	2801	385	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other Fee (specify)

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)**130.00****SUBMITTED BY**

Name (Print/Type)

Christopher A. Brown

Registration No.

41,642

Telephone

(317) 634-3456

Signature

(Attorney/Agent)

Date

August 30, 2004

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. This collection of information is required by 37 CFR 1.136(a). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 8 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.